



2875

DOCKET NO: C1104/7061

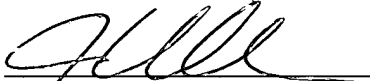
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alfred D. Ducharme, et al.
Serial No: 09/716,819
Confirmation No: 9031
Filed: November 20, 2000
For: SYSTEMS AND METHODS FOR GENERATING AND
MODULATING ILLUMINATION CONDITIONS

Examiner: Lee, Y My Quach
Art Unit: 2875

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 10 day of January, 2005.


Jeanne W. Chub

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed more than three months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in this application.

The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed.

01/14/2005 14:00:00 00000007 09716819

01/14/2005

10000000

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

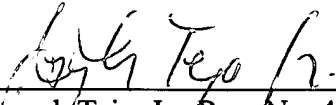
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Alfred D. Ducharme, et al., Applicants

By: 
Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
Riverfront Office Park
One Main Street
Cambridge, Massachusetts 02142
Tel. (617) 395-7000

Docket No. C1104/7061
Date: January 10, 2005



Docket No.: C1104/7061

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alfred D. Ducharme, et al.
Serial No: 09/716,819
Confirmation. No.: 9031
Filed: November 20, 2000
For: SYSTEMS AND METHODS FOR GENERATING AND
MODULATING ILLUMINATION CONDITIONS
Examiner: Lee, Y My Quach
Art Unit: 2875

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 16 day of January, 2005.



Jeanne W. Chub

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

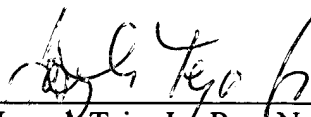
Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement, Form PTO-1449 and Cited References
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check for \$180.00 is enclosed. If the fee required differs from the amount enclosed, the Commissioner is hereby authorized to charge any underpayment to or refund any overpayment to Deposit Account No. 50/2762.

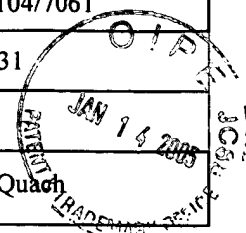
Respectfully submitted,
Alfred D. Ducharme, et al., Applicants

By: 

Joseph Teja, Jr., Reg. No. 45,157
LOWRIE, LANDO & ANASTASI, LLP
Riverfront Office Park
One Main Street
Cambridge, MA 02142
(617) 395-7000

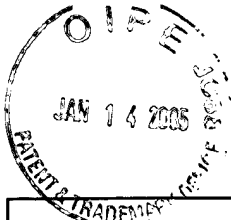
Date: January 16, 2005
756064.1

FORM PTO-1449/A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICATION NO.: 09/716,819	ATTY. DOCKET NO.: C1104/7061
		FILING DATE: 11/20/2000	CONFIRMATION NO.: 9031
		APPLICANT: Alfred D. Ducharme, et al.	
		GROUP ART UNIT: 2875	EXAMINER: Lee, Y My Quach
Sheet	1	of	3



U.S. PATENT DOCUMENTS

Examiner's Initials#	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		* US2002/0078221	A1	Blackwell et al.	06-20-2002
		* US2002/0171377	A1	Mueller et al.	11-21-2002
		* US2002/0038157	A1	Dowling et al.	03-28-2002
		* US2002/0145394	A1	Morgan et al.	10-10-2002
		* US2002/0048169	A1	Dowling et al.	04-25-2002
		* US2002/0070688	A1	Dowling et al.	06-13-2002
		* US2002/0145869	A1	Dowling	10-10-2002
		* US2002/0176259	A1	Ducharme	11-28-2002
		* US2003/0076281	A1	Morgan et al.	04-24-2003
		* US2003/0011538	A1	Lys et al.	01-16-2003
		* US2002/0047569	A1	Dowling et al.	04-25-2002
		* US2002/0044066	A1	Dowling et al.	04-18-2002
		* US2002/0074559	A1	Dowling et al.	06-20-2002
		* US2002/0057061	A1	Mueller et al.	05-16-2002
		* US2003/0057884	A1	Dowling et al.	03-27-2003
		* US2002/0153851	A1	Dowling et al.	10-24-2002
		* US2002/0130627	A1	Dowling et al.	09-19-2002
		* US2002/0163316	A1	Dowling et al.	11-07-2002
		* US2002/0171378	A1	Morgan et al.	11-21-2002
		* US2002/0171365	A1	Morgan et al.	11-21-2002
		* US2002/0158583	A1	Lys et al.	10-31-2002
		* US2002/0101197	A1	Lys et al.	08-01-2002
		* US2002/0152045	A1	Dowling et al.	10-17-2002
		* US2003/0057886	A1	Lys et al.	03-27-2003
		* US2002/0195975	A1	Dowling et al.	12-26-2002
		* US2003/0028260	A1	Blackwell	02-06-2003
		* US2003/0057887	A1	Dowling et al.	03-27-2003
		* US2003/0057890	A1	Lys et al.	03-27-2003
		* US2003/0133292	A1	Mueller et al.	07-17-2003
		* US2003/0137258	A1	Piepgas et al.	07-24-2003
		* US2003/0100837	A1	Lys et al.	05-29-2003
		* US2003/0133292	A1	Mueller et al.	07-17-2003
		* US2003/0222587	A1	Dowling et al.	12-04-2003



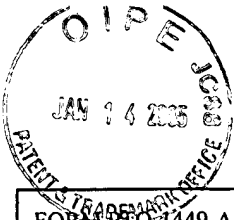
FORM PTO-1449-A and B (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICATION NO.: 09/716,819		ATTY. DOCKET NO.: C1104/7061	
				FILING DATE: 11-20-2000		CONFIRMATION NO.: 9031	
				APPLICANT: Alfred D. Ducharme, et al.			
				GROUP ART UNIT: 2875		EXAMINER: Lee, Y My Quach	
Sheet	2	Of	3				

U.S. PATENT DOCUMENTS

		* US2004/0032226	A1	Lys	02-19-2004
		* US2004/0036006	A1	Dowling	02-26-2004
		* US2004/0052076	A1	Mueller et al.	03-18-2004
		* US2004/0090787	A1	Dowling et al.	05-13-2004
		* US2004/0105261	A1	Ducharme et al.	06-03-2004
		* US2004/0130909	A1	Mueller et al.	07-08-2004
		* 3,760,174		Boenning et al.	09-18-1973
		* 4,656,398		Michael et al.	04-07-1987
		* 4,845,481		Havel	07-04-1989
		* 4,962,687		Belliveau et al.	10-16-1990
		* 5,420,482		Phares	05-30-1995
		* 5,752,766		Bailey et al.	05-19-1998
		* 5,924,784		Chliwnyj et al.	07-20-1999
		* 6,016,038		Mueller, et al.	01-18-2000
		* 6,150,774		Mueller, et al.	11-21-2000
		* 6,166,496		Lys, et al.	12-26-2000
		* 6,211,626	B1	Lys, et al.	04-03-2001
		* 6,292,901	B1	Lys, et al.	09-18-2001
		* 6,340,868	B1	Lys, et al.	01-22-2002
		* 6,379,022		Amerson et al.	04-30-2002
		* 6,459,919	B1	Lys, et al.	10-01-2002
		* 6,608,453	B2	Morgan et al.	08-19-2003
		* 6,548,967	B1	Dowling et al.	04-15-2003
		* 6,577,080		Lys et al.	06-10-2003
		* 6,528,954	B1	Lys et al.	03-04-2003
		* 6,624,597	B2	Dowling et al.	09-23-2003
		* 6,717,376	B2	Lys et al.	04-06-2004
		* 6,720,745	B2	Mueller et al	04-13-2004

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			



FORM PTO-1449-A and B (Modified)

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

APPLICATION NO.: 09/716,819

ATTY. DOCKET NO.: C1104/7061

FILING DATE: 11-20-2000

CONFIRMATION NO.: 9031

APPLICANT: Alfred D. Ducharme, et al.

GROUP ART UNIT: 2875

EXAMINER: Lee, Y My Quach

Sheet 3 of 3

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and-or country where published.	Translation (Y/N)	
		Materials from http://www.artisticlicense.com-appnotes-appnote015.pdf , LDI Las Vegas, 2002.		

EXAMINER

DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

*a copy of this reference is not provided either because it was previously cited by or submitted to the office in a prior application, Serial No. __, filed __, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications) or because a copy of this reference need not be provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

756063.1